

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ryoichi Mukai )  
 Serial No. 10/058,662 )  
 Filed: January 28, 2002 )  
 Conf. No. 3822 )  
 For: POLYCRYSTALLINE )  
 STRUCTURE FILM AND )  
 METHOD OF MAKING SAME )  
 Art Unit: 1794 )  
 Examiner: Piziali, Andrew T. )

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

This IDS is submitted under 37 C.F.R. §1.97(c), after the C.F.R. §1.97(b) time period, but before the mail date of a Final Office Action or a Notice of Allowance (whichever occurs first), with either:

- (a) a statement under 37 C.F.R. §1.97(e); or
- (b) the \$180.00 fee under 37 C.F.R. §1.17(p).

Applicant(s) submit herewith Form PTO-1449 (Information Disclosure Citation) together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. Applicant(s) respectfully submit that the citation of any reference on Form PTO-1449 does not constitute an admission that the reference qualifies as prior art.

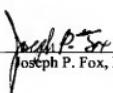
It is requested that the information disclosed on the enclosed Form PTO-1449 be made of record in this application.

- Statement Under 37 C.F.R. §1.97(e):  
 The enclosed foreign references were cited in a Communication issued by the \_\_\_\_\_. Applicant(s) hereby certify that each foreign reference cited on the enclosed Form PTO-1449 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.  
 Applicant(s) hereby certify that the U.S. reference cited on the enclosed Form PTO-1449 was not cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, the U.S. reference cited on the enclosed Form PTO-1449 was not known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Please charge the Deposit Account of record \$180.00 to cover the fee as set forth in 37 C.F.R. § 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or to credit any overpayment, to Deposit Account No. 07-2069.

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Respectfully submitted,  
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 By:   
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